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This listing of claims will replace all prior versions, and listings, of claims in the application.

## **Listing of Claims:**

1. (Original) Process for preparation of crystalline forms of the optical enantiomers of modafinil, comprising the following stages :

- i) dissolving one of the optical enantiomers of modafinil in a solvent other than ethanol,
- ii) crystallising the enantiomer of modafinil,
- iii) recovering the crystalline form of the enantiomer of modafinil so obtained.
- 2. (Original) Process according to claim 1, in which the modafinil enantiomer is the laevorotatory enantiomer .
- 3. (Original) Process according to claim 1, in which the modafinil enantiomer is the dextrorotatory enantiomer.
- 4. (Currently amended) Process according to any one of claims 1 to 3 claim 1, in which the crystalline form obtained is a polymorphic form.
- 5. (Currently amended) Process according to any one of claims 1 to 4 claim 1, in which crystallisation is performed under kinetic or thermodynamic conditions.
- 6. (Original) Preparation process according to claim 5, in which crystallisation is performed by precipitation, possibly in the presence of seeds of crystals of the desired crystalline form.
- 7. (Original) Preparation process according to claim 5, in which crystallisation consists of cooling the solution obtained in stage i).
  - 8. (Original) Process according to claim 7, in which cooling is slow.

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9. (Original) Process according to claim 7, in which cooling is fast.

10. (Currently amended) Process according to claims 4 and claim 8, in which the solvent used in stage i) is selected from acetone, 1-4 dioxan, ethyl acetate, ortho, meta or para xylene, or a mixture of ortho, meta and/or para xylene, and the polymorphic form so

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obtained is then described as Form I.

11. (Currently amended) Process according to elaims 4 and claim 9, in which the solvent used in stage i) is selected from methanol, water, and er alcohol/water mixtures, the

crystalline form then obtained being described as Form I.

12-30. (Cancelled)

31. (Original) Process for the preparation of optically active modafinil from modafinil acid, comprising the following stages:

i) separating the two optical enantiomers of  $(\pm)$ -modafinil acid and recovering at least one of the enantiomers,

- ii) placing one of the two enantiomers obtained in contact with a lower alkyl haloformate in the presence of alcohol or an organic base,
- iii) recovering the product obtained,
- iv) converting the ester obtained into an amide,
- v) recovering the product obtained in stage iv).

32. (Original) Process according to claim 31, in which the haloformate is a lower alkyl chloroformate.

33. (Original) Process according to claim 32, in which the lower alkyl chloroformate is methyl chloroformate.

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34. (Currently amended) Process according to any one of claims 31 to 33 claim 31, in which the base used in stage ii) is selected from triethylamine, diisopropylamine, diethylmethylamine, DBU.

- 35. (Currently amended) Process according to any one of claims 31 to 34 claim 31, in which the solvent used in stage ii) is a lower aliphatic alcohol, preferably is methanol.
- 36. (Currently amended) Process according to any one of claims 31 to 35 claim 31, in which the solvent used in stage iv) is carried out in the presence of a lower aliphatic alcohol, preferably methanol.
- 37. (Currently amended) Process according to any one of claims 31 to 36 claim 31, in which resolution of the optical enantiomers of (±)-modafinil acid in stage i) is carried out through a preferential crystallisation process.
- 38. (Original) Process according to claim 37, in which the process of resolving the two optical enantiomers of  $(\pm)$  modafinil acid or the salts of the same is a seeded process, the said process comprising the following stages :
  - a) homogenising at a temperature T<sub>D</sub> a combination comprising the racemic mixture of crystals of the first enantiomer of modafinil acid and solvent in the form of conglomerate, for which the defining point E defined by the concentration and temperature variables T<sub>D</sub> lies in the monophase domain of the dilute solution,
  - b) rapidly cooling the solution prepared in stage a) initially at the temperature T<sub>D</sub>
    down to temperature T<sub>F</sub>,
  - c) seeding the solution in stage b) during or at the end of cooling (T<sub>F</sub>) with very pure seeds of the first enantiomer,
  - d) harvesting the crystals of the first enantiomer,
  - e) adding the racemic mixture of crystals in the form of conglomerate to the mother liquors resulting from the harvest performed in stage d) and

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homogenising the new combination by heating to a temperature T<sub>D</sub>, in such a way that the defining point E' is symmetrical for E with respect to the plane of the racemic mixture of the solvent, (-)-antipode, (+)-antipode system, the said point E' being located in the monophase domain of the dilute solution,

- f) rapidly cooling the solution obtained in stage e), initially at the temperature T<sub>D</sub>, down to the temperature T<sub>F</sub>.
- g) seeding the solution obtained in stage f) using very pure seeds of the second enantiomer,
- h) harvesting crystals of the second enantiomer,
- adding the racemic mixture in the form of a conglomerate of crystals to the mother liquors resulting from the crystal harvests performed in stage h) and homogenising the new combination heating to a temperature T<sub>D</sub> to obtain a composition which is identical to that of the combination having the initial defining point E,
- j) repeating stages a), b), c), d), e), f), h) and j) in order to obtain the first and then the second of the two enantiomers in succession.
- 39. (Original) Process according to claim 37, in which the process of separation of the two optical enantiomers of  $(\pm)$ -modafinil acid or salts of the same by preferential crystallisation is a self-seeded AS3PC process, the said process comprising the following stages:
  - a) creating a combination comprising the racemic mixture of crystals of the first enantiomer of modafinil acid and solvent, in the form of conglomerate, for which the defining point E defined by the concentration and temperature variables T<sub>B</sub> is located in the two-phase domain of the enantiomer in excess and is in equilibrium with its saturated solution,
  - b) applying a function for programming cooling from the temperature of the two-phase mixture prepared in stage a), the said programming function being such that the mother liquors remain slightly supersaturated encouraging growth of the enantiomer

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present in the form of crystals while preventing spontaneous nucleation, of the second enantiomer present in the solution,

- c) adopting a stirring speed which increases slightly over time throughout the period of crystal growth in stage b) in such a way that the stirring speed is at all times sufficiently slow to encourage growth of the first enantiomer while preventing the generation of excessively large shear forces giving rise to uncontrolled nucleation and sufficiently fast to produce a homogeneous suspension and rapid renewal of the mother liquor about each crystallite of the first enantiomer,
- d) harvesting crystals of the first enantiomer,
- e) adding the racemic mixture of crystals in the form of conglomerate to the mother liquors resulting from the harvest performed in stage d) and bringing the new combination to a temperature plateau T<sub>B</sub> for the time necessary to achieve thermodynamic equilibrium so that the defining point E' is symmetrical for E with respect to the plane of the racemic mixtures of the solvent, (-)-antipode, (+)-antipode system, the said point E' being located within the two-phase domain of the second enantiomer in excess and in equilibrium with its saturated solution,
- f) applying the same cooling programming function as in stage b) to the two-phase mixture prepared in stage e) containing the second enantiomer in such a way that the mother liquors remain slightly supersaturated during crystallisation so as to encourage growth of the enantiomer present in the form of crystals while preventing spontaneous nucleation of the first enantiomer present in the solution,
- g) adopting a stirring speed which increases slightly over time throughout the period of crystalline growth in stage f) in such a way that at all times it is sufficiently slow to encourage growth of the second enantiomer while avoiding generating excessively large shear forces bringing about uncontrolled nucleation, and sufficiently fast to achieve a homogeneous suspension and rapid renewal of the mother liquor around each crystallite of the second enantiomer,
- h) harvesting crystals of the second enantiomer,
- i) adding the racemic mixture of crystals in the form of conglomerate to the mother liquors resulting from the crystal harvest performed in stage g) in order to obtain a combination whose composition is identical to that of the initial combination E, Page 6 of 13

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j) repeating stages a), b), c), d), e), f) g), h) and i) to obtain the first and then the second of the two enantiomers in succession.

- 40. (Original) Process according to claim 39, characterised in that in stage a) choice of the solvent or solvents and the working temperature range are defined in such a way as to have simultaneously:
  - antipodes forming a conglomerate and of which any racemate is metastable within the working temperature range,
  - liquors which are sufficiently concentrated but of low viscosity and low vapour pressure,
  - the absence of solvolysis and racemisation,
  - stability of the solvates if these are present at equilibrium and they are in the form of separable enantiomers.
- 41. (Currently amended) Process according to any-one of claims 39 to 40 claim 39, characterised in that in stages (a) and (e) temperature T<sub>B</sub> is higher than temperature T<sub>L</sub> for homogenisation of the quantity of racemic mixture present in the initial suspension, and in that from the curve for the variation of T<sub>HOMO</sub> in relation to the enantiomer excess and for a constant concentration of racemic mixture X<sub>L</sub> the said temperature T<sub>B</sub> is defined in such a way that the mass of fine crystals of the first enantiomer in stages (a) and (i) and the second enantiomer in stage (e) in equilibrium with their saturated solutions represents at most 50% and preferably between approximately 25% and 40% of the expected harvest.
- 42. (Currently amended) Process according to any one of claims 39 to 41 claim 39, characterised in that in stages (b) and (f) the programming function for cooling the temperature T<sub>B</sub> to T<sub>F</sub> appropriate for the experimental assemblage is defined in such a way as to:
  - achieve slight supersaturation throughout the period for crystallisation of the enantiomer present in the form of crystals at the start of each cycle, this slight supersaturation bringing about gentle growth and secondary nucleation,

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achieve maximum supersaturation of the other enantiomer at T<sub>F</sub> without primary nucleation,

- obtaining a harvest of crystals in stages (d) and (h) which after addition of the racemic mixture and making-up in stages (e) and (i), makes it possible for the operations to be cyclical.
- 43. (Original) Process according to claim 42, characterised in that the cooling programming function is determined for its part from  $T_L$  to  $T_F$  by cooling of the solution of concentration  $X_L$  from  $T_L + 1^{\circ}C$  to  $T_F$ ,  $T_F$  being below  $T_L (T_{HOMO} T_L)$ , in order to obtain a stable saturated solution without primary nucleation while allowing a double harvest of the initial enantiomer excess and in that the said cooling programming function is determined for its part from  $T_B$  to  $T_L$  by extrapolation of the same function as determined from  $T_L + 1^{\circ}C$  to  $T_F$ .
- 44. (Currently amended) Process according to any one of claims 39 to 43 claim 39, characterised in that in the two stages (b) and (f) the heat release accompanying deposition of the first enantiomer and the second enantiomer is incorporated into the cooling programming function.
- 45. (Currently amended) Process according to any of claims 39 to 44 claim 39, characterised in that in stages (e) and (i) shortages of solvent are made up.
- 46. (Currently amended) Process according to any one of claims 39 to 45 claim 39, characterised in that in stages (a), (e) and (i) the fine crystals of racemic mixture in the form of conglomerate, which are added, were before being introduced subjected to prior treatment accelerating the dissolution stage, such as grinding and sieving, treatment with ultrasound waves or partial lyophilisation.
- 47. (Currently amended) Process according to any one of claims 39 to 46 claim 39, characterised in that in stages (a), (e) and (i), the stirring speed is increased.

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48. (Currently amended) Process according to any one of claims 38 or 39 to 47 claim 38 or 39, in which the solvent used in stage a) is ethanol, 2-methoxyethanol or methanol.

- 49. (Original) Process according to claim 48, in which the temperature  $T_F$  lies between 0 and 40°C.
- 50. (Currently amended) Process according to either of claims 48 or 49 claim 48, in which the concentration of the racemic mixture in stage a) lies between 2 and 50 % by mass.
- 51. (Currently amended) Process according to <del>any one of claims 48 to 50</del> <u>claim</u> <u>48</u>, in which the enantiomer excess in stage a) lies between 1 and 50 % by mass.
- 52. (Original) Process according to claim 51, in which the temperature T<sub>B</sub> lies between 25°C and 50°C.
- 53. (Currently amended) Process according to any one of claims 48 to 52 claim 48, in which duration of the temperature plateau T<sub>B</sub> lies between 15 and 60 min.
- 54. (Currently amended) Process for preparation of one of the enantiomers of modafinil comprising the following stages :
  - a) separating the two optical enantiomers of (±)-modafinil acid or salts of the same through a preferential crystallisation process as defined in claims 35 to 53 claim 35,
  - b) converting the said enantiomer to an amide,
  - c) recovering the modafinil enantiomer obtained.

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55. (Original) Process according to claim 54, in which stage b) is carried out in two stages:

- b1) conversion of the said enantiomer into a lower alkyl ester,
- b2) converting the product obtained in stage b1) to an amide.

## 56-63. (Cancelled)

- 64. (Newly added) Polymorphic form of the laevorotatory enantiomer of modafinil, characterized in that it produces an X-ray diffraction pattern comprising intensity peaks at the interplanar spacings: 8.54, 4.44, 4.27, 4.02, 3.98 (Å).
- 65. (Newly added) Polymorphic form according to claim 64, characterised in that it produces an X-ray diffraction spectrum comprising intensity peaks at the interplanar spacings: 13.40, 8.54, 6.34, 5.01, 4.68, 4.62, 4.44, 4.27, 4.20, 4.15, 4.02, 3.98, 3.90, 3.80, 3.43 (Å).
- 66. (Newly added) A pharmaceutical composition comprising a polymorphic form of the laevorotatory enantiomer of modafinil of claim 64.
- 67. (Newly added) A pharmaceutical composition comprising a polymorphic form of the laevorotatory enantiomer of modafinil of claim 64 in association with a pharmaceutically acceptable carrier.
- 68. (Newly added) A pharmaceutical composition comprising a polymorphic form of the laevorotatory enantiomer of modafinil of claim 65.
- 69. (Newly added) A pharmaceutical composition comprising a polymorphic form of the laevorotatory enantiomer of modafinil of claim 65 in association with a pharmaceutically acceptable carrier.

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70. (Newly added) A method for prevention or treatment of a disease selected from hypersomnia; idiopathic hypersomnia; hypersomnia in patients affected by a cancer treated with morphine analgesics to relieve pain; sleep apnoeas; excessive somnolence associated with a disease; obstructive sleep apnoeas; narcolepsy; somnolence; excessive somnolence; excessive somnolence associated with narcolepsy; disturbances of the central nervous system; Parkinson's disease; cerebral ischaemia; alertness disturbances; alertness disturbances associated with Steinert's disease; attention disturbances; attention deficit hyperactivity disorder (ADHD); fatigue associated with multiple sclerosis and other degenerative diseases; depression; the depressive condition associated with low exposure to sunlight; schizophrenia; rotating shift work and time shift disorders; eating disturbances in which modafinil acts as an appetite stimulant, said method comprising administering to said patient a polymorphic form of the laevorotatory enantiomer of modafinil of claim 64.

- 71. (Newly added) A method for prevention or treatment of a disease selected from hypersomnia; idiopathic hypersomnia; hypersomnia in patients affected by a cancer treated with morphine analgesics to relieve pain; sleep apnoeas; excessive somnolence associated with a disease; obstructive sleep apnoeas; narcolepsy; somnolence; excessive somnolence; excessive somnolence associated with narcolepsy; disturbances of the central nervous system; Parkinson's disease; cerebral ischaemia; alertness disturbances; alertness disturbances associated with Steinert's disease; attention disturbances; attention deficit hyperactivity disorder (ADHD); fatigue associated with multiple sclerosis and other degenerative diseases; depression; the depressive condition associated with low exposure to sunlight; schizophrenia; rotating shift work and time shift disorders; eating disturbances in which modafinil acts as an appetite stimulant, said method comprising administering to said patient a polymorphic form of the laevorotatory enantiomer of modafinil of claim 65.
- 72. (Newly added) A method of stimulating cognitive function in a patient comprising administering to said patient a polymorphic form of the laevorotatory enantiomer of modafinil of claim 64.

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73. (Newly added) A method of stimulating cognitive function in a patient comprising administering to said patient a polymorphic form of the laevorotatory enantiomer of modafinil of claim 65.

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- 74. (Newly added) A composition comprising a laevorotatory enantiomer of modafinil that produces an X-ray diffraction pattern comprising intensity peaks at the interplanar spacings: 8.54, 4.44, 4.27, 4.02, 3.98 (Å).
- 75. (Newly added) A composition of claim 74, wherein the laevorotatory enantiomer of modafinil produces an X-ray diffraction spectrum comprising intensity peaks at the interplanar spacings: 13.40, 8.54, 6.34, 5.01, 4.68, 4.62, 4.44, 4.27, 4.20, 4.15, 4.02, 3.98, 3.90, 3.80, 3.43 (Å).
- 76. (Newly added) A composition of claim 74, further comprising a pharmaceutically acceptable carrier.
- 77. (Newly added) A composition of claim 75, further comprising a pharmaceutically acceptable carrier.